

Retirement Plan Current State Assessment

If your company sponsors a 401(k) plan and files a Form 5500, but does not currently require an audit, ask yourself the following questions:

- Do you know you could be personally liable for errors, even if you are not named a fiduciary?
- Do you have a set process for monitoring your plan that is well documented?
- Do you know who the fiduciaries are for your plan?
- Do you have a retirement plan committee that meets regularly? Are these meetings documented?
- Are you involved in monitoring the results of the investments?

If you answered “No” to any of those questions, the good news is you’re not alone. The bad news is you could be at risk and not even know it. That’s why Insero developed our exclusive **Retirement Plan Current State Assessment**. The assessment is a “Compliance Report Card” including an evaluation of your current processes and suggestions for remediating any issues identified.



Employers regularly set up retirement plans for their employees, hire third party administrators, and do not pay any further attention to the operations. The current regulatory environment makes sponsoring a retirement plan a risk for companies, and that risk is not usually getting adequate attention. Our retirement plan specialists can provide a road map to the risk areas and guidance on how to reduce those risks.

Our Retirement Plan Current State Assessment is a quick, efficient, and cost effective way for you to understand easy ways to reduce your risk as well as ideas to improve your plan.

Experience and Qualifications

We are one of the top 25 of all accounting firms in the United States based on plan assets audited and serve clients in more than 30 states. What this means for our clients is that we have the experience that rivals any firm, whatever the size. That translates to a superior service experience and more valuable insights for managing your plan.

In addition to our benefit plan audit experience, the real benefit to you is our knowledge of ERISA and the ability to provide answers to your questions from filing and reporting requirements to complex interpretations of benefit regulations.

The Inero Difference

- Dedicated employee benefit plan service team
- Top 25 employee benefit plan practice
- ERISA/benefit plan experience
- Seamless transitions
- Proactive advice
- Senior management attention and relationships
- Deep technical bench
- Entrepreneurial focus
- A strong reputation for quality and service
- A focus on planning and strategy
- Global reach, national strength and local presence
- Face-to-face service model

Summary

We are excited to serve you and believe that our commitment to quality service, technical expertise, reasonable fees, and our personalized approach will exceed your expectations. Please call us for a no-cost, no-obligation conversation to discuss your needs.

What Does It Mean to Have a Higher Standard?

We believe the greatest successes are not born from a single event. They are the result of hard work, planning, tenacity, passion, and focus. They grow without compromising—heralding honor, respect, and admiration through the business world and throughout the community.

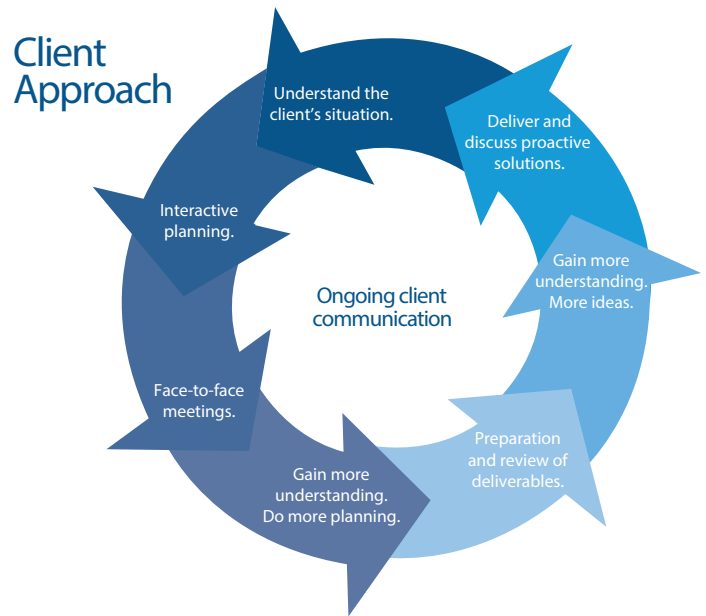
They set a higher standard for all.

It is this standard that we bring to every client and every aspect of their business. Through our partnership and leadership we aim to help these clients continue to achieve great successes that can be measured in more than just dollars.

For more information, please contact:



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